

74-0621

19 FEB 1974

MEMORANDUM FOR: Deputy Director for Management and Services
SUBJECT : Polygraph, Psychological Stress Evaluation
and Telephone Monitors

1. This memorandum is submitted for information only.
2. The Director of Central Intelligence asked the Director of Security to make the following changes on our responses to the questionnaire from the General Accounting Office:
 - a. Amend questions 8, 9 and 16 of the polygraph questionnaire (Part A, Attachment II).
 - b. Add a sentence to the heading of Part B - Answers to Questionnaire on Monitoring Practices and Devices.
 - c. Amend paragraphs 3 and 4 of Part B by eliminating reference to beepers.
 - d. Amend paragraph 8, Part B, which indicates that employees are prohibited from installing a telephone monitoring device on an Agency telephone by the addition of the phrase "without authorization."
3. These changes were made and the original package is being returned to the DCI for signature through the Deputy Director for Management and Services.
4. When Mr. Colby signs the letter to Mr. Brown of the General Accounting Office, we will submit an amended package to the Deputy Director for Management and Services, General Counsel and Legislative Counsel for their records.
5. A copy of the changes is attached.

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[Redacted Signature Box]

Howard J. Osborn
Director of Security

Attachment

Pages 13

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Page Denied

Next 3 Page(s) In Document Denied

DDM 79 4275

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8 FEB 1974

MEMORANDUM FOR: Director of Central Intelligence

VIA : Deputy Director for Management and Services
General Counsel
Legislative Counsel

FROM : Director of Security

SUBJECT : Polygraph, Psychological Stress Evaluation
and Telephone Monitors

1. Action Requested: Approval of responses to questionnaire and signing of response letter to the General Accounting Office.

2. Basic Data: The chairman of the Foreign Operations and Government Information Subcommittee, House Government Operations Committee, has requested the U.S. General Accounting Office to obtain information on the use by federal agencies of polygraphs and psychological stress evaluators and of telephone monitoring and other surveillance practices. Accordingly, the General Accounting Office forwarded a two-part questionnaire. The answers to the first part were prepared by the Office of Security. The answers to the second part were prepared jointly by the Office of Security and the Office of Communications.

Part A of the questionnaire covers polygraphs and psychological stress evaluators and contains twenty-seven questions. We have answered questions 2, 2a, b, c, d, 3, 4 (1), and 5 with a statement that "the numbers, job assignments, and duties of the Central Intelligence Agency are classified information. In keeping therewith, answers to (this question among others) have not been provided herein." This response is consistent to that previously reported to Congress. We are forwarding herewith the factual answers to these questions as a classified attachment.

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from Classified Attachment(s)

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Part B of the questionnaire covers monitoring practices and devices. Except for questions 5 and 6, answers to which were not previously requested, our reply is consistent with that made to Congressman Moss during 1970. We have, however, changed our 1970 answer to question 8 from "The Agency does not have any rules or regulations governing telephone monitoring and recording" to "Our regulations prohibit employees from installing a telephone monitoring device on an Agency telephone. This prohibition is contained in a twenty-page classified handbook which has been distributed to all Agency components."

3. Staff Position: The Office of Security believes that our responses reflect a reasonable attempt to cooperate with the General Accounting Office, but some of the questions involve classified data.

4. Recommendation: It is recommended that you approve our responses to the questionnaire by signing the memorandum to the General Accounting Office.



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Howard J. Osborn
Director of Security

Attachments (2)

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**SUBJECT: Polygraph, Psychological Stress Evaluation, and
Telephone Monitors**

CONCURRENCES:

/s/ Harold L. Brownman

11 FEB 1974

Harold L. Brownman
Deputy Director
for Management and Services

Date

John S. Harner
General Counsel

Date

George L. Cary
Legislative Counsel

Date

APPROVED: _____

DISAPPROVED: _____

Distribution:

Orig. - Return to OS via DD/M&S

1 - DDCI

1 - ER

2 - DD/M&S

1 - General Counsel

1 - Legislative Counsel

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QUESTIONNAIRE

PART A - Questionnaire on Polygraphs and Psychological Stress Evaluators

2. How many polygraphs and psychological stress evaluator detection devices are the property of your agency? Your response should show separate data for each of these two categories of devices, if available.

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Answer: This Agency possesses 76 polygraph instruments.

- (a) Please list the total acquisition cost of all such devices.

Answer: The polygraph instrument in use in this Agency is the Stoelting Executive Model #22532. The purchase price with all accessories is \$1,317.50; a total cost of \$100,130.00.

- (b) Please estimate the annual maintenance costs of such devices and indicate whether maintenance is performed by agency personnel or by outside sources.

Answer: All examiners perform first echelon maintenance on all the instruments as they use them. One examiner performs more sophisticated maintenance and repairs as his other duties permit. Very infrequently an instrument is returned to the manufacturer for extensive repair or replacement of major components. The annual budget for maintenance and repair is now set at \$650.00. Annual resupply costs are about \$325.00.

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- (c) If your agency leases such devices, or contracts with other public or private agencies to perform such tests, please provide the total costs for such activity during fiscal 1973.

Answer: Not applicable.

- (d) Please estimate all additional expenses attributable to such testing, such as travel expenses for examiners to and from location of tests, internal and external training programs, and all other costs for fiscal 1973.

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Answer: The annual budget for polygraph testing,

[Redacted]

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3. Please provide two copies each of all intra-agency directives, administrative orders, rules, regulations, and/or instructions governing the use of such devices within your agency.

Answer: [Redacted]

Memorandum for the Director of Security
from the Director, Subject: Polygraph
Program
Examiner Instructions

25X1

- 4.(1) How many polygraph tests and/or psychological stress evaluator device tests were conducted by your agency in fiscal 1973?

Answer: 1944 applicant cases, staff-type access cases, and specific cases requiring clarifying security interviews were conducted in FY 1973.

[Redacted]

5. Please enumerate, by job title and grade, all employees of your agency who are authorized to conduct polygraph or psychological stress evaluator tests and list their salary costs for fiscal 1973.

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Answer: Current Table of Organization - Headquarters

Interrogation Officer

Interrogation Officer

Interrogation Officer

Interrogation Officer

25X1

Interrogation Branch yearly salary cost -

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TAB

DD/M&S 73-4246

Mr. Forrest R. Browne
Director, Federal Personnel and
Compensation Division
United States General Accounting Office
Washington, D. C. 20548

Dear Mr. Browne:

Your letter of 5 October 1973 requests information on the use of polygraphs and psychological stress evaluators and of telephone monitoring and other surveillance practices of the Central Intelligence Agency to update previous Foreign Operations and Government Information Subcommittee, House Government Operations Committee, investigations in this field. Response to the questions set forth in the enclosure are keyed to the numbered paragraphs of the questionnaire forwarded with your letter.

In keeping with the responsibility for protecting intelligence sources and methods, 50 U.S.C. 403(d)(3), information concerning Agency activities in the conduct of foreign intelligence operations is not reported.

Sincerely,

W. E. Colby
Director

2 Encls

Distribution:

Orig. & 1 - Adse
1 - Sign.Off.
1 - DDCI
1 - ER
2 - DD/M&S *Placed in file*
1 - General Counsel
1 - Legislative Counsel
1 - D/Security

Part A - Answers to Questionnaire on Polygraphs and Psychological Stress Evaluators

1. This Agency possesses and makes use of polygraphs. It does not possess and does not use psychological stress evaluators.¹ Direction of the polygraph program is the responsibility of the Director of Security.

2. See final paragraph

(a) See final paragraph

(b) All examiners perform first echelon maintenance on all the instruments as they use them. One examiner performs more sophisticated maintenance and repairs as his other duties permit. Very infrequently an instrument is returned to the manufacturer for extensive repair or replacement of major components. The annual budget for maintenance and repair is now set at \$650.00. Annual resupply costs are about \$325.00.

(c) Not applicable

(d) The FY 1973 cost for polygraph training, research, and travel was \$51,000.

(e) No

3. See attached

4. The polygraph is used in concert with investigation regarding personnel for employment, assignment, or association with the Agency.

(a) Applicants are afforded polygraph examinations as a part of security screening procedures. They are asked questions concerning possible membership in the Communist Party, past or present Communist sympathies or associations, past or present foreign connections, falsification of their personal history statement, and a category of questions dealing with blackmail potential. (See attachment.)

(b) Yes

(c) The results of polygraph interviews or the refusal to take such tests are considered along with all other information developed in the processing of the individual case.

(d) The Director of Central Intelligence established the policy that all applicants will be afforded a polygraph interview as a part of the security clearance process in support of his statutory responsibility to protect intelligence sources and methods.

(e) Yes

¹It is presumed that "psychological stress evaluator" refers to the Dektor Psychological Stress Evaluator which is a voice analysis device.

(f) The data derived from polygraph interviews are maintained in special security files apart from the regular security files, and under no circumstances made a part of or referred to in any way in the personnel files or any computerized information system data bank.

(g) The findings or conclusions are not made available to the individuals.

(h) Adverse personnel actions are subject to appeal.

(i) Access to all polygraph information is severely restricted to senior officials with action responsibility.

(j) No

(k) Yes. They are designed to enable an interview to be conducted in privacy without outside interference. Provisions are made for adequate lighting and ventilation and special extra-comfortable chairs are provided. There are no two-way mirrors. To permit supervision and the preparation of accurate records, a system for monitoring and recording the audible portion of the interview is built into each room. Upon inquiry, an individual being tested is told whether the interview is being monitored or recorded.

(l) See final paragraph

(m) None

5. See final paragraph

(a) All who are authorized to conduct polygraph tests do so as a full-time job.

(b) Qualifications for candidates:

(1) College degree

(2) Oral fluency in one or more foreign languages or marked aptitude for language training

(3) Physically and psychologically qualified to meet Agency requirements

(4) Preferably of a maturity consistent with about 30 years of age

(5) Preferably work experience in such closely related fields as investigations, police administration, psychology, political science, and physiology.

(c) The Central Intelligence Agency, under its centrally controlled program, trains its own polygraph examiners. The training course covers physiology, psychology, interviewing and interrogation, chart interpretation, test construction, instrument maintenance and repair, and professional ethics. After an average of 6 to 7 weeks of such training, the trainee is assigned cases of gradually increasing complexity under the guidance and monitoring

of senior examiners. The point at which training is completed varies with individual capacities but averages 6 to 8 months. A research officer is specifically tasked with keeping up with research in the field and assuring that working examiners are kept abreast of the current state-of-the-art in interrogation and instrumentation.

(d) No

The numbers, job assignments, and duties of employees of the Central Intelligence Agency are classified information. In keeping therewith, answers to questions 2, 2(a), 4(1), and 5 have not been provided herein.

Part A, Attachment I

21 February 1970

MEMORANDUM FOR: Director of Security

SUBJECT : Polygraph Program

1. The use of the polygraph by the Central Intelligence Agency shall be governed by the provisions of this memorandum. You are hereby directed to take the necessary action to insure implementation.

2. The Director of Security shall be responsible for the supervision and training of polygraph examiners and for the conduct of the polygraph program of the Central Intelligence Agency. He shall insure that the highest standards of operating procedures and equipment capability are established and maintained.

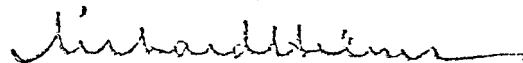
3. The polygraph will be used in the Central Intelligence Agency as an aid to investigation for determining the security eligibility of persons for employment by or assignment to the Agency; security clearance by the Agency; staff-like access to sensitive Agency installations; utilization in operational situations; or continued access to classified information where implications of a security nature or investigative information require clarifying security interviews.

4. The polygraph will not be used in the Central Intelligence Agency on official or administrative matters involving possible malfeasance, or for the sole purpose of determining violations of the criminal laws of any country.

5. If a polygraph examination involves a question pertaining to the violation of a criminal law, the individual shall be informed of his privilege against self-incrimination and his right to consult with legal counsel or other professional assistance prior to the examination. Notification of such rights will be formally recorded.

6. The Director of Security shall establish adequate safeguards designed to prevent unwarranted invasion of privacy. Each applicant for employment will be notified, at the time he is given application forms, of the intent to use a polygraph examination in the course of his employment processing. Before a person undergoes a polygraph examination, his consent should be obtained in writing. He should be told, before testing, the general content of all questions which will be asked. He will also be briefed on testing procedures. All questions must have specific relevance to the person being polygraphed and to the purpose of that particular test. Upon inquiry, he should be told if the polygraph examination is being monitored or recorded.

7. The Director of Security shall maintain separate files for information obtained during polygraph examinations. He shall release such information only to appropriate Agency officials when it has a direct bearing on a decision to be made by that official. He shall release polygraph acquired information outside the Agency only after he has made a determination, which is concurred in by the Director or Deputy Director of Central Intelligence, that such a release is necessary in the interest of national security.



Richard Helms
Director

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DD/M&S 73-4275
OGC 73 2092

CONFIDENTIAL

1 NOV 1973

MEMORANDUM FOR: Director of Central Intelligence

VIA : Deputy Director for Management and Services
Acting General Counsel
Legislative Counsel

FROM : Director of Security

SUBJECT : Polygraph, Psychological Stress Evaluation,
and Telephone Monitors

1. Action Requested: Approval of responses to questionnaire and signing of response letter to the General Accounting Office.

2. Basic Data: The chairman of the Foreign Operations and Government Information Subcommittee, House Government Operations Committee, has requested the U.S. General Accounting Office to obtain information on the use by federal agencies of polygraphs and psychological stress evaluators and of telephone monitoring and other surveillance practices. Accordingly, the General Accounting Office forwarded a two-part questionnaire. The answers to the first part were prepared by the Office of Security. The answers to the second part were prepared jointly by the Office of Security and the Office of Communications.

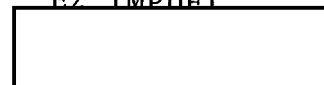
Part A of the questionnaire covers polygraphs and psychological stress evaluators and contains twenty-seven questions. We have answered questions 2, 2a, b, c, d, 3, 4 (1), and 5 with a statement that "the numbers, job assignments, and duties of the Central Intelligence Agency are classified information. In keeping therewith, answers to (this question among others) have not been provided herein." This response is consistent to that previously reported to Congress. We are forwarding herewith the factual answers to these questions as a classified attachment.

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from Classified Attachment(s)

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SUBJECT: Polygraph, Psychological Stress Evaluation, and Telephone Monitors

CONCURRENCES:

For HAROLD L. BROWNMAN
Deputy Director
for Management and Services

Date

John S. Warner
Acting General Counsel

Date

John M. Maury
Legislative Counsel G.C.

Date

APPROVED: _____

DISAPPROVED: _____

Distribution:

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DD/M&S 73-4275

1 NOV 1973

MEMORANDUM FOR: Director of Central Intelligence

VIA : Deputy Director for Management and Services
Acting General Counsel
Legislative Counsel

FROM : Director of Security

SUBJECT : Polygraph, Psychological Stress Evaluation,
and Telephone Monitors

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Part A of the questionnaire covers polygraphs and psychological stress evaluators and contains twenty-seven questions. We have answered questions 2, 2a, b, c, d, 3, 41, and 5 with a statement that "the numbers, job assignments, and duties of the Central Intelligence Agency are classified information. In keeping therewith, answers to (this question among others) have not been provided herein." This response is consistent to that previously reported to Congress. We are forwarding herewith the factual answers to these questions as a classified attachment.

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CENTRAL INTELLIGENCE AGENCY
WASHINGTON, D.C. 20505

Mr. Forrest R. Browne
Director, Federal Personnel and
Compensation Division
United States General Accounting Office
Washington, D. C. 20548

Dear Mr. Browne:

Attached are answers to the questionnaire received
with your letter of 5 October 1973 concerning the use of *in the United States*
polygraphs and telephone monitoring devices. You will
note that in some of our responses I have indicated that
classified information is involved. Please let me know
if the Agency can be of further assistance.

Sincerely,

The copies furnished
W. E. Colby
Director *are in the*
Enclosure *U.S.*

25X1



DD/M&S 73-4276

Mr. Forrest R. Browne
Director, Federal Personnel and
Compensation Division
United States General Accounting Office
Washington, D. C. 20548

Dear Mr. Browne:

Attached are answers to the questionnaire received
with your letter of 5 October 1973 concerning the use of
polygraphs and telephone monitoring devices. You will
note that in some of our responses I have indicated that
classified information is involved. Please let me know
if the Agency can be of further assistance.

Sincerely,

W. E. Colby
Director

Enclosure

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- 1 - Signing official
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- 1 - Acting General Counsel
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t 73)

(2 Nov 73)

CENTRAL INTELLIGENCE AGENCY
WASHINGTON, D.C. 20505

Mr. Forrest R. Browne
Director, Federal Personnel and
Compensation Division
United States General Accounting Office
Washington, D.C. 20548

Dear Mr. Browne,

Pursuant to your request of 5 October 1973, I am forwarding herewith answers to your two-part questionnaire covering the use by the Central Intelligence Agency of polygraphs and telephone monitoring and other surveillance practices.

In compiling answers to your questionnaire, I have noted that, in some instances, classified information is involved and I have so indicated in our responses.

Please advise if I can be of further assistance in this matter.

Sincerely,

W. E. Colby
Director

Attachment

QUESTIONNAIRE

PART A - Questionnaire on Polygraphs and Psychological Stress Evaluators

1. Does your agency possess or make use of polygraphs or psychological stress evaluator detection devices? (If major subordinate organizations within your agency engage in such activity, please list all those organizations.)

Answer: This Agency possesses and makes use of polygraphs. It does not possess and does not use psychological stress evaluators.¹ Direction of the polygraph program is the responsibility of the Director of Security.

2. How many polygraphs and psychological stress evaluator detection devices are the property of your agency? Your response should show separate data for each of these two categories of devices, if available.

Answer: The numbers, job assignments, and duties of the Central Intelligence Agency are classified information. In keeping therewith, answers to (this question among others) have not been provided herein.

- (a) Please list the total acquisition cost of all such devices.

Answer: The numbers, job assignments, and duties of the Central Intelligence Agency are classified information. In keeping therewith, answers to (this question among others) have not been provided herein.

¹It is presumed that "psychological stress evaluator" refers to the Dektor Psychological Stress Evaluator which is a voice analysis device.

- (b) Please estimate the annual maintenance costs of such devices and indicate whether maintenance is performed by agency personnel or by outside sources.

Answer: The numbers, job assignments, and duties of the Central Intelligence Agency are classified information. In keeping therewith, answers to (this question among others) have not been provided herein.

- (c) If your agency leases such devices, or contracts with other public or private agencies to perform such tests, please provide the total costs for such activity during fiscal 1973.

Answer: The numbers, job assignments, and duties of the Central Intelligence Agency are classified information. In keeping therewith, answers to (this question among others) have not been provided herein.

- (d) Please estimate all additional expenses attributable to such testing, such as travel expenses for examiners to and from location of tests, internal and external training programs, and all other costs for fiscal 1973.

Answer: The numbers, job assignments, and duties of the Central Intelligence Agency are classified information. In keeping therewith, answers to (this question among others) have not been provided herein.

- (e) Do you have on loan to or loan from other Federal agencies or any other sources any polygraphs or psychological stress evaluator detection devices? If yes, give the number of such devices and identify the agencies or sources involved.

Answer: No.

3. Please provide two copies each of all intra-agency directives, administrative orders, rules, regulations, and/or instructions governing the use of such devices within your agency.

Answer: The numbers, job assignments, and duties of the Central Intelligence Agency are classified information. In keeping therewith, answers to (this question among others) have not been provided herein.

4. Briefly explain your agency's general procedures governing the use of both categories of devices and answer the following specific questions. (Please explain procedures and indicate if they are covered by regulation in connection with each question. If more than one major subordinate organization within the agency is affected, provide separate responses for each.)

Answer: The polygraph is used in concert with investigation and liaison contacts with other United States agencies to obtain and evaluate pertinent information regarding personnel for employment, assignment or association with the Agency.

- (a) For what specific purposes are these devices used (i.e., employment interviews, security clearance processing, suspected improper conduct of duties, medical measurements, or other purposes. List in order of most frequent use.)?

Answer: Applicants are afforded polygraph examinations as a part of security screening procedures. They are asked questions concerning possible membership in the Communist Party, past or present Communist sympathies or associations, past and present foreign connections, falsification of their personal history statement, and a category of questions dealing with blackmail potential.

- (b) Are the devices used in every instance involving those purposes listed in answer to (a) above?

Answer: Yes.

- (c) What weight is given the data resulting from test by these devices, or refusals to take such tests in relation to other types of investigative information?

Answer: The results of polygraph interviews or the refusal to take such tests are considered along with all other information developed in the processing of an applicant for employment.

- (d) Who makes the initial determination to use such devices, and is this initial determination subject to review by higher authority in each case?

Answer: The Director of Central Intelligence established the policy that all applicants will be afforded a polygraph interview as a part of the security clearance process in support of his statutory responsibility to protect intelligence sources and methods.

- (e) Is the physical and mental condition of each person to be tested considered to determine suitability to take such a test?

Answer: Yes.

- (f) What disposition is made of data derived from such tests given to persons connected with your agency (i.e., retained in affected individuals' personnel files, retained separately, entered into a computerized information system data, made available to other government agencies, etc.)?

Answer: The data derived from polygraph interviews are maintained in special security files apart from the regular security files and under no circumstances made a part of or referred to in any way in the personnel files or any computerized information system data bank.

Polygraph acquired information can only be released outside the Agency when the Director of Security has made a determination, concurred in by the Director of Central Intelligence, that such a release is necessary in the interest of national security.

- (g) Are the findings of such tests made available to the subjects of such tests?

Answer: The findings or conclusions are not made available to the individual.

- (h) Is there a right of appeal in cases of adverse findings?

Answer: Adverse personnel actions are subject to appeal under the provisions of the Agency personnel regulations.

- (i) Is access to such data restricted and, if so, what classification or other designation is applied to the data?

Answer: Access to all polygraph information is severely restricted to senior officials with action responsibility.

- (j) If a person connected with your agency refuses to take such a test, is that refusal reflected in anyway whatsoever in the individual's personnel records?

Answer: No.

- (k) Does your agency maintain special facilities, such as specially designed rooms, for the performance of such tests? Briefly describe such facilities and how they are equipped, stating particularly if they have two-way mirrors and recording devices. Furnish photographs, if available.

Answer: Yes. They are designed to enable an interview to be conducted in privacy without outside interference. Provisions are made for adequate lighting and ventilation and special extra-comfortable chairs are provided.

There are no two-way mirrors.

To permit supervision and the preparation of accurate records, a system for monitoring and recording the audible portion of the interview is built into each room. Upon inquiry, an individual being tested is told whether the interview is being monitored or recorded.

- (1) How many polygraph tests and/or psychological stress evaluator device tests were conducted by your agency in fiscal 1973?

Answer: The numbers, job assignments, and duties of the Central Intelligence Agency are classified information. In keeping therewith, answers to (this question among others) have not been provided herein.

- (m) How many such tests were conducted by other agencies, public, private or contractor at the request of your agency in fiscal 1973?

Answer: None.

5. Please enumerate, by job title and grade, all employees of your agency who are authorized to conduct polygraph or psychological stress evaluator tests and list their salary costs for fiscal 1973.

Answer: The numbers, job assignments, and duties of the Central Intelligence Agency are classified information. In keeping therewith, answers to (this question among others) have not been provided herein.

- (a) How many of these persons have as their primary duty, the conducting of such tests?

Answer: All who are authorized to conduct polygraph tests do so as a full-time job.

- (b) What are the minimum qualifications required of those persons within your agency authorized to conduct such tests?

Answer: Qualifications for candidates

- (1) College degree
- (2) Oral fluency in one or more foreign languages or marked aptitude for language training
- (3) Physically qualified to meet Agency requirements
- (4) Preferably of a maturity consistent with about 30 years of age
- (5) Preferably work experience in such closely related fields as investigations, police administration, psychology, political science, and physiology.

- (c) Describe any training program your agency provides to train its own employees, or employees of other Federal agencies, in conducting such tests.

Answer: The Central Intelligence Agency, under its centrally controlled program, trains its own polygraph examiners. The training course covers physiology, psychology, interviewing and interrogation, chart interpretation, test construction, instrument maintenance and repair, and professional ethics. After an average of 6 to 7 weeks of such training, the trainee is assigned cases of gradually increasing complexity under the guidance and monitoring of senior examiners. The point at which training is completed varies with individual capacities but averages 6 to 8 months.

A research officer is specifically tasked with keeping up with research in the field and assuring that working examiners are kept abreast of the current state-of-the-art in interrogation and instrumentation.

- (d) Does your agency send employees to outside agencies or schools, public or private, for training in such testing? If so, please provide the name and address of the training facility.

Answer: No.

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MEMO NEEDS RETYPING

— SEE ME —

NOT CLEAR THAT THE
CLASSIFIED DOES NOT
GO — OR DOES IT?

Mr. Wattles -

Page 1 of the OS memo has been corrected
per your request.

There will be only one enclosure to the
outgoing letter.

The classified info will be returned to OS.

I'LL BET WEC ASKS THE SAME
QUESTION!

(I put a routing sheet on the
attachment to "return to OS".)


RSW

Recommend

Can also be

R

DD/M&S Registry
File Security 5

SENDER WILL CHECK CLASSIFICATION TOP AND BOTTOM			
UNCLASSIFIED	CONFIDENTIAL	SECRET	
OFFICIAL ROUTING SLIP			
TO	NAME AND ADDRESS	DATE	INITIALS
1	Director of Security 4E 60 Hqs		
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6			
ACTION		DIRECT REPLY	PREPARE REPLY
APPROVAL		DISPATCH	RECOMMENDATION
COMMENT		FILE	RETURN
CONCURRENCE		INFORMATION	SIGNATURE
Remarks: Oz: In discussing the attached request with the Legislative Counsel I gather that we have responded earlier to similar requests of this nature. However [redacted] believes that we may be able to get some relief from this requirement. He is discussing with the Subcommittee staff and will advise. In the meantime, you may want to see what background information is available in your files. We have not forwarded copies of this request to OC, OL or elsewhere in the Agency since I would prefer that your office be the focal point of the coordinated response. <div style="text-align: right;">  Robert S. Wattles </div> Att: DD/M&S 73-4006			
FOLD HERE TO RETURN TO SENDER			
FROM: NAME, ADDRESS AND PHONE NO.			DATE
Associate Deputy Director for Management and Services, 7D 26 Hqs. [redacted]			12 Oct 73
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FORM NO. 1-67 237 Use previous editions

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EO-DD/M&S [redacted] (12 Oct 73)
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Orig RS - Adse w/O of Att (DD/M&S 73-4006)

- 1 - DD/M&S Subject w/Xcy of Att
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DD/M&S 73-4006: Ltr dtd 5 Oct 73 to DCI fr D/GAO
 re info on polygraphs, psychological stress
 evaluators, telephone & other surveillance
 practices

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EXECUTIVE SECRETARIAT**Routing Slip**

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3	DDS&T				
4	DDI				
5	DDO				
6	DDM&S	✓			
7	D/DCI/IC				
8	D/ONE				
9	OGC				
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11	IG				
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SUSPENSE		1 Nov Date			

Remarks:

*No proper response
for DCI signature.*

Executive Secretary

Date



UNITED STATES GENERAL ACCOUNTING OFFICE
WASHINGTON, D.C. 20548

Executive Registry

73-6354

FEDERAL PERSONNEL AND
COMPENSATION DIVISION

OCT 5 1973

B-142199

The Honorable William E. Colby
Director, Central Intelligence Agency

Dear Mr. Colby:

The Chairman of the Foreign Operations and Government Information Subcommittee, House Government Operations Committee, has requested the U. S. General Accounting Office to obtain information on the use by Federal agencies of polygraphs and psychological stress evaluators and of telephone monitoring and other surveillance practices. The purpose of this survey is to update previous Subcommittee investigations in this field.

Accordingly, we ask that you furnish full and complete answers to each item in the enclosed two-part questionnaire which is being sent to the heads of executive departments and independent agencies. Responses are to cover all subordinate organizations within each agency. Within some individual and independent agencies more than one major subordinate organization may use polygraphs, psychological stress evaluators, and monitoring devices for different purposes or under different procedures. In that event, please break down the requested data by major subordinate organizations in addition to providing an agency-wide compilation.

If any portion of the questionnaire seems unclear, and further advice or explanation is desired, please contact Mr. Max Stettner, Assistant Director, at 386-3417.

In order that this information can be summarized on a timely basis for use by the Subcommittee, the Chairman asks that we provide the requested information to the Subcommittee by November 12, 1973.

Your cooperation in assisting us in responding to this request is appreciated.

Sincerely yours,

A handwritten signature in dark ink, appearing to read "Forrest R. Browne".

Forrest R. Browne
Director

Enclosure (as stated)

OCT 5 1973

QUESTIONNAIRE

Part A -- Questionnaire on Polygraphs and Psychological Stress Evaluators

1. Does your agency possess or make use of polygraphs or psychological stress evaluator detection devices? (If major subordinate organizations within your agency engage in such activity, please list all those organizations.)
2. How many polygraphs and psychological stress evaluator detection devices are the property of your agency? Your response should show separate data for each of these two categories of devices, if available.
 - (a) Please list the total acquisition cost of all such devices.
 - (b) Please estimate the total annual maintenance costs of such devices and indicate whether maintenance is performed by agency personnel or by outside sources.
 - (c) If your agency leases such devices, or contracts with other public or private agencies to perform such tests, please provide the total costs for such activity during fiscal 1973.
 - (d) Please estimate all additional expenses attributable to such testing, such as travel expenses for examiners to and from location of tests, internal and external training programs, and all other costs for fiscal 1973.
 - (e) Do you have on loan to or loan from other Federal agencies or any other sources any polygraphs or psychological stress evaluator detection devices? If yes, give the number of such devices and identify the agencies or sources involved.
3. Please provide two copies each of all intra-agency directives, administrative orders, rules, regulations, and/or instructions governing the use of such devices within your agency.
4. Briefly explain your agency's general procedures governing the use of both categories of devices and answer the following specific questions. (Please explain procedures and indicate if they are covered by regulation in connection with each question. If more than one major subordinate organization within the agency is affected, provide separate responses for each.)

(1) How many polygraph tests and/or psychological stress evaluator device tests were conducted by your agency in fiscal 1973?

(m) How many such tests were conducted by other agencies, public, private or contractor at the request of your agency during fiscal 1973?

5. Please enumerate, by job title and grade, all employees of your agency who are authorized to conduct polygraph or psychological stress evaluator tests and list their salary costs for fiscal 1973. In addition, please answer the following:


(a) How many of these persons have, as their primary duty, the conducting of such tests?

(b) What are the minimum qualifications required of those persons within your agency authorized to conduct such tests?

(c) Describe any training program your agency provides to train its own employees, or employees of other Federal agencies, in conducting such tests.

(d) Does your agency send employees to outside agencies or schools, public or private, for training in such testing? If so, please provide the name and address of the training facility.

10-10
discussed
with OLC
He will have
suggestions tomorrow
Then, we can
forward to Commo
& Sec.

TRANSMITTAL SLIP		DATE 12 October 1973	
TO: Mr. Wattles			
ROOM NO.	BUILDING		
REMARKS: Recommend your signature on the attached routing slip.  LDP			
FROM:			
ROOM NO.	BUILDING	EXTENSION	

FORM NO. 241
1 FEB 55

REPLACES FORM 36-8
WHICH MAY BE USED.

(47)

SENDER WILL CHECK CLASSIFICATION TOP AND BOTTOM			
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Remarks: Oz: In discussing the attached request with the Legislative Counsel I gather that we have responded earlier to similar requests of this nature. However, [redacted] believes that we may be able to get some relief from this requirement. He is discussing with the Subcommittee staff and will advise. In the meantime, you may want to see what background information is available in your files. We have not forwarded copies of this request to OC, OL or elsewhere in the Agency since I would prefer that your office be the focal point of the coordinated response. [redacted] Robert S. Wattles Att: DD/M&S 73-4006			
FOLD HERE TO RETURN TO SENDER			
FROM: NAME, ADDRESS AND PHONE NO.			DATE
Associate Deputy Director for Management and Services, 7D 26 Hqs [redacted]			601 1973
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